

# Direct Observation of Intermediates Involved in the Interruption of the Bischler-Napieralski Reaction

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# Supporting Information

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**ABSTRACT:** The first mechanistic investigation of electrophilic amide activation of  $\alpha_1\alpha$ -disubstituted tertiary lactams and the direct observation of key intermediates by in situ FTIR, <sup>1</sup>H, <sup>13</sup>C, and <sup>19</sup>F NMR in our interrupted Bischler-Napieralski-based synthetic strategy to the aspidosperma alkaloids, including a complex tetracyclic diiminium ion, is discussed. The reactivity of a wide range of pyridines with trifluoromethanesulfonic anhydride was systematically examined, and characteristic IR absorption bands for the corresponding N-trifluoromethanesulfonylated pyridinium trifluoromethanesulfonates were assigned. The reversible formation of diiminium ether intermediates was studied, providing insight into divergent mechanistic pathways as a function of the steric environment of the amide substrate and stoichiometry of reagents. Importantly, when considering base additives during electrophilic amide activation, more hindered  $\alpha$ -quaternary tertiary lactams require the use of non-nucleophilic pyridine additives in order to avoid deactivation via a competing desulfonylation reaction. The isolation and full characterization of a tetracyclic iminium trifluoromethanesulfonate provided additional correlation between in situ characterization of sensitive intermediates and isolable compounds involved in this synthetic transformation.

# INTRODUCTION

Trifluoromethanesulfonic anhydride (Tf<sub>2</sub>O) has been employed as an electrophilic reagent for activation of a wide range of functional groups. These transformations often include condensation reactions that are sensitive to the base additive or presence of Lewis basic functional group. We have found the Tf<sub>2</sub>O-2-chloropyridine reagent combination, first reported by Myers for the synthesis of a key vinyl trifluoromethanesulfonate in their total synthesis of (+)-dynemicin A<sup>2</sup> and later by Gin for dehydrative glycosylation chemistry,<sup>3</sup> to be particularly effective for electrophilic amide activation leading to an array of versatile condensation reactions that include syntheses of substituted pyridines, <sup>4</sup> pyrimidines, <sup>5,6</sup> alkynyl imines, isoquinolines, and  $\beta$ -carbolines. We also first reported the use of the Tf<sub>2</sub>O-2-fluoropyridine reagent combination for direct dehydrative N-pyridinylation of amides.9 Contributions from other research groups 10 have resulted in exciting transformations that include chemoselective reduction of amides, 11 reductive alkylation of amides and lactams, 12  $\alpha$ arylation of amides,<sup>13</sup> and syntheses of ketones and ketimines.<sup>14,15</sup> Recently, we have applied this amide activation chemistry to access spirocyclic indolines via the interruption of the Bischler-Napieralski reaction 16 and applied this strategy to the total synthesis of various members of the aspidosperma alkaloids.<sup>17</sup> While new applications of this amide activation chemistry continue to offer unique and versatile synthetic transformations, the paucity of detailed studies concerning the identity and reactivity of crucial intermediates prompted our investigation of sensitive and electrophilic compounds involved in this chemistry. Herein, we report the first direct monitoring of the electrophilic amide activation of tertiary lactams and the subsequent observation of the resulting O-trifluoromethanesulfonyloxyiminium ions, mono- and diiminium spiroindolines, and diiminium ethers through the use of in situ reaction monitoring techniques.

Amide activation with Tf<sub>2</sub>O occurs through the attack of the amide oxygen onto the electrophilic sulfur, followed by expulsion of a trifluoromethanesulfonate ion (Scheme 1). 5a,18 The resulting O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3 can further react with pyridine additive 2

Scheme 1. (A) Electrophilic Tertiary Amide Activation with Tf<sub>2</sub>O and (B) N-Sulfonylation of Pyridines

$$A \qquad \begin{array}{c} R_1 \\ N \\ R_2 \\ 1 \end{array} \qquad \begin{array}{c} Tf_2O \\ R_1 \\ N \end{array} \qquad \begin{array}{c} TfO^- \ OTf \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \ OTf \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \ N^+ - OTf \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \\ R_2 \end{array} \qquad \begin{array}{c} TfO^- \\ R_1 \\ N \\ R_3 \\$$

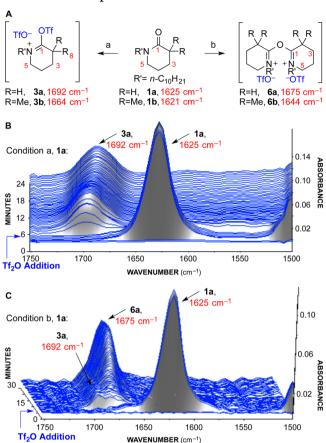
Received: May 7, 2015 Published: July 12, 2015 to form 1-pyridyliminium bistrifluoromethanesulfonate 4. However, some pyridines can add to Tf2O in competition with the amide substrate, resulting in the formation of N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5 that may transfer the sulfonyl to the amide, providing an alternative pathway to 3. In the case of tertiary amide substrates, the corresponding activated iminium ions are particularly reactive, and in situ monitoring techniques are necessary to study persistent intermediates. As an outgrowth of our continuing interest in probing the mechanism of electrophilic amide activation, 5a,9 and informed by the pioneering studies of Charette<sup>18</sup> in addition to the recent incisive investigation by Maulide, 13 we embarked on a detailed study involving tertiary lactams that was designed to interrogate the effect of different pyridine additives on the rate of amide activation, the ensuing spirocyclization when applicable, <sup>16,17</sup> as well as their influence on the chemical stability of electrophilic intermediates. 19,20

### ■ RESULTS AND DISCUSSION

Electrophilic Activation of Tertiary Lactams in the Absence of Pyridine Bases. We began our mechanistic study of the interruption of the Bischler-Napieralski reaction with the systematic study of the electrophilic activation of amides in the absence or the presence of pyridine additives. In order to develop ideal conditions for spirocyclization, it was necessary to first investigate the electrophilic activation of simple tertiary lactams. This allowed for the identification of the lowest temperature for efficient tertiary lactam activation and a reference for the influence of base additives. We began our studies with tertiary, six-membered ring lactams 1a and 1b (Scheme 2A) because they possess important characteristics of the lactam substrates we have utilized in our total synthesis efforts, 17 but lack functional groups that would obscure the region of interest in the IR spectra. Lactams 1a (1625 cm<sup>-1</sup>) and 1b (1621 cm<sup>-1</sup>) both react with Tf<sub>2</sub>O at -78 °C to form the corresponding O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonates 3a (1692 cm<sup>-1</sup>) and 3b (1664 cm<sup>-1</sup>), respectively.<sup>21</sup> This can be directly monitored by IR through the rapid disappearance of the carbonyl absorption band at 1625 and 1621 cm<sup>-1</sup>, respectively, and simultaneous formation of what we postulate to be the iminium ion absorption bands of the O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3a and 3b at 1692 and 1664 cm<sup>-1</sup>, respectively (Scheme 2B). The formation of 3a and 3b was also monitored by <sup>1</sup>H, <sup>13</sup>C, and <sup>19</sup>F NMR. In each case two key <sup>19</sup>F NMR resonances were observed that support the formation of 3a and  $3b_1^{22}$  one corresponding to the trifluoromethanesulfonate anion (-79.4 and -79.7 ppm, respectively) and another corresponding to the fluorine resonance of the O-trifluoromethanesulfonyloxyiminium at -72.3 and -71.1 ppm for 3a and 3b, respectively.18

During in situ IR monitoring of the activation of lactam 1a, we noticed the transient formation of an absorption band at 1675 cm<sup>-1</sup> in the IR spectrum (Scheme 2C). To further probe the identity of this intermediate, activation of 1a using 0.5 equiv of Tf<sub>2</sub>O was examined. The immediate product detected by IR is the expected *O*-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3a. However, even at -78 °C, an absorption band at 1675 cm<sup>-1</sup> appears and grows in intensity as the absorption bands at 1625 cm<sup>-1</sup> (1a) and 1692 cm<sup>-1</sup> (3a) disappear (Scheme 2C). We postulate the absorption band at 1675 cm<sup>-1</sup> to correspond to formation of diiminium ether 6a

Scheme 2. Electrophilic Activation of Lactams<sup>a</sup>



 $^a(A)$  Conversion of lactams 1 to sulfonyl iminium ions 3 and diiminium ethers 6. Conditions: (a) Tf<sub>2</sub>O (1.0 equiv), CH<sub>2</sub>Cl<sub>2</sub>, -78 °C. (b) Tf<sub>2</sub>O (0.5 equiv), CH<sub>2</sub>Cl<sub>2</sub>, -78 °C (6a) or  $-78 \rightarrow 23$  °C (6b). (B) Representative conversion of lactam 1a to sulfonyl iminium ion 3a monitored by in situ IR. (C) Representative conversion of lactam 1a to diiminium ether 6a monitored by in situ IR. Key IR absorption bands are reported in wavenumber and shown in red.

(1675 cm<sup>-1</sup>).<sup>22</sup> This hypothesis was further supported through <sup>1</sup>H and <sup>19</sup>F NMR analysis, as the use of 0.5 equiv of Tf<sub>2</sub>O resulted in the formation of a single species with distinct chemical shifts. Most importantly, the <sup>19</sup>F NMR no longer contains a resonance corresponding to the *O*-trifluoromethylsulfonyl group present in 3a, consistent with diiminium ether formation. <sup>22,23</sup> The attack of amides onto *O*-trifluoromethanesulfonyloxyiminium ions has been documented, <sup>22,23</sup> but this is the first instance of tertiary lactams forming these highly reactive dication intermediates. Through in situ IR monitoring we also observe the formation of diiminium ether 6b (1644 cm<sup>-1</sup>), which requires warming to 23 °C due to the increased steric environment of *O*-trifluoromethanesulfonyloxyiminium ion 3b.

Based on our initial findings with the synthesis of diiminium ethers **6a** and **6b** from lactams **1a** and **1b** (Scheme 2), we conducted additional experiments focused on the formation of mixed diiminium ether 7 (Scheme 3, 1675 and 1644 cm<sup>-1</sup>). Through these experiments we hoped to gain more information on the difference in reactivity between *O*-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonates **3a** and **3b**. In order to synthesize diiminium ether 7, lactam **1b** was added to a solution of *O*-trifluoromethanesulfonyloxyiminium trifluor

Scheme 3. Formation and Equilibration of Diiminium Ethers $^a$ 

<sup>a</sup>Conditions: (a) Tf<sub>2</sub>O (1.0 equiv), CH<sub>2</sub>Cl<sub>2</sub> −78 → 23 °C. (b) **1b** (1.0 equiv); −78 → 23 °C. (c) **1a** (1.0 equiv); −78 → 23 °C. (d) 30 min, 23 °C. Key IR absorption bands are reported in wavenumber and shown in red.

omethanesulfonate 3a (Scheme 3, step b). Two absorption bands at 1675 and 1644 cm<sup>-1</sup> emerged in the IR spectrum and are consistent with the formation of the diiminium ether 7. While the sensitivity and reactivity of this compound prevent its isolation, our observations support the formation of mixed diiminium ether 7 and not a mixture of diiminium ethers 6a and **6b**. <sup>24</sup> Interestingly, the reaction profile of the addition of lactam 1a to O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3b is more complex and further emphasizes the difference in reactivity between lactam 1a and 1b (Scheme 3, step c). First, partial transfer of the trifluoromethylsulfonyl group from O-sulfonyliminium sulfonate 3b to lactam 1a, forming O-sulfonyliminium sulfonate 3a, occurs in <30 s at -78°C which was supported by an absorption band at 1692 cm<sup>-1</sup>. This was followed by the formation of diiminium ether 6a and a small amount of mixed diiminium ether 7. Both Otrifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3b and lactam 1b also remain, and after 30 min at 23 °C, the major product was the mixed diiminium ether 7 (Scheme 3, step d). This suggests that the system is dynamic and that the diiminium ether is a more stable intermediate than the Otrifluoromethanesulfonyloxyiminium trifluoromethanesulfonates 3a and 3b. This interesting result further demonstrates the marked difference in reactivity between unhindered lactam 1a and  $\alpha$ -quaternary lactam 1b. This observation is also consistent with O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3b acting as a sulfonylating reagent instead of reacting at carbon due to the steric pressure at the  $\alpha$ position. While this type of iminium ethers may be formed competitively as undesired byproducts during amide activation, the observed difference in reactivity between lactam 1a and 1b highlights the unique challenge associated with hindered lactams as substrates in this chemistry compared to previously studied systems 13,18 due to potential competitive desulfonyla-

Electrophilic Activation of Tertiary Lactams in the Presence of Pyridine Bases. By examining a range of pyridine additives with electron-withdrawing and electron-donating substituents, we sought to understand how these substituents influence the reactivity of pyridines with  $Tf_2O$  (Table 1). Alkyl amines and alkyl-substituted pyridines were

Table 1. N-Sulfonylation of Pyridine Derivatives<sup>a</sup>

Α		-	$f_2O$ , $CH_2CI_2$ -78 $\rightarrow$ 23 °C	TfO-+N 5
	Entry	R	2 (cm <sup>-1</sup> )	5 (cm <sup>-1</sup> )
	1	Н	1601, 1582	1687, 1629
	2	2-C1	1578	-
	3	2-F	1621	1636
	4	3-C1	1571	1598
	5	3-Br	1575	1675
	6	3-CN	1587	1621
	7	3-C(O)OEt	1718, 1581	1741, 1640
	8	2-C(O)OEt	1718, 1590	1752, 1664
	9	4-CN	1594	1629
	10	3-OMe	1671	1690, 1653
	11	4-NMe <sub>2</sub>	1601	1648, 1594

 $^{a}$ (A) Key IR absorption bands are reported in wavenumber. (B) Representative formation of N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5 (R = H) monitored by in situ IR.

not examined in this study based on their documented oxidation with Tf<sub>2</sub>O. 1b,25 By first establishing the reactivity of each substituted pyridine with Tf<sub>2</sub>O, we also planned to determine the IR absorption bands for the corresponding N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonates (Table 1), allowing for an informed analysis during in situ IR monitoring of lactam activation with various pyridine additives. When pyridine is combined with Tf<sub>2</sub>O in dichloromethane, the corresponding N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5 (R = H) is formed rapidly (Table 1, entry 1). 18,26 Interestingly, we had previously observed that 2chloropyridine does not react with Tf<sub>2</sub>O in dichloromethane, even after extended reaction time (Table 1, entry 2). Sa We found that 2-fluoropyridine and other electron-deficient pyridines were slow to undergo N-sulfonylation at -78 °C. For example, 2-fluoropyridine (Table 1, entry 3) required warming to 23 °C before significant (ca. 1/3) conversion to the corresponding N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate. In this respect, the reagent combination of Tf<sub>2</sub>O-2-chloropyridine is unique in its resistance to undergo N-sulfonylation under the reaction conditions. 5a While electron-deficient pyridines react slowly with Tf2O to give the corresponding N-sulfonylpyridinium ion 5 (Table 1, entries 2– 9), they do not interfere with amide activation due to the rapid rate of the reaction between Tf<sub>2</sub>O and lactams at -78 °C. However, the electron-rich pyridines we investigated react rapidly with Tf<sub>2</sub>O, even at -78 °C, to provide Nsulfonylpyridinium ion 5 (Table 1, entries 10-11). A combination of steric hindrance around the pyridine nitrogen and the influence of an electron-withdrawing substituent may be best illustrated in the case of 2-chloropyridine, rendering it unique among the additives examined in Table 1. The evaluation of the rate of reaction of pyridine derivatives with Tf<sub>2</sub>O is informative in our selection of base additives that

minimize competing undesired deactivation when using hindered amide substrates as discussed below.

We then investigated the interaction of *O*-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonates with different pyridine additives.<sup>27</sup> In situ IR analysis allowed for monitoring of the formation of 1-pyridyliminium bistrifluoromethanesulfonates 4a and 4b from the sulfonyliminium ions 3a and 3b, respectively, by treatment with different pyridine additives (Table 2A). Interestingly, activation of lactam 1a occurs in the

Table 2. Formation of 1-Pyridyliminium Ion Derivatives 4<sup>a</sup>

A O R A R'N R A R=H, 3a R=Me, 1b R=Me, 3b R=Me, 4b 
$$R^{R'}$$

Entry	Lactam	Additive	Temp. (°C) <sup>b</sup>	4 (cm <sup>-1</sup> ) <sup>c</sup>
1	1a	2-ClPyr	-78	1682
2	1a	3-BrPyr	-78	1660
3	1a	pyridine	-78	1679
4	1b	2-ClPyr	-60	1617
5	1b	2-FPyr	23	1659
6	1b	3-ClPyr	-78	1679
7 <sup>d</sup>	1b	3-CNPyr	-78	1679
8 <sup>d</sup>	1b	4-CNPyr	-78	1633

 $^{\prime\prime}(A)$  Key IR absorption bands are reported in wavenumber. (a) Conditions:  $Tf_2O$  (1.2 equiv), additive (1.2 equiv), -78 °C  $\rightarrow$  23 °C. (b) The temperature noted corresponds to the temperature at which 4 was first detected. (c) A key IR absorption band (wavenumber) of the corresponding 1-pyridyliminium ion 4. (d) The addition of lactam last resulted in the same outcome. (B) Representative formation of 1-pyridyliminium ion 4b (R" = 4-CN) monitored by in situ IR.

presence of electron-rich pyridines that react rapidly with  $Tf_2O$  at -78 °C (Table 2A, entry 3) as well as in the presence of less nucleophilic electron-deficient pyridines (Table 2A, entry 1), demonstrating its enhanced nucleophilicity relative to  $\alpha$ , $\alpha$ -disubstituted lactam 1b (vide supra). However, our primary interest was the examination of more sterically hindered lactams, consistent with substrates required for our interrupted Bischler–Napieralski based synthetic strategy to the aspidosperma alkaloids, similar to  $\alpha$ , $\alpha$ -disubstituted lactam 1b.

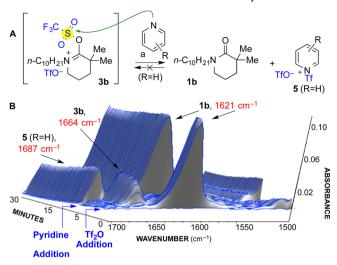
In the more critical case of lactam 1b, we discovered that electron-rich pyridine additives completely shut down the lactam activation pathway by intercepting the highly electrophilic  $Tf_2O$  before nucleophilic attack of the lactam 1b. When electron-deficient pyridines are used as additives, amide activation of lactam 1b with  $Tf_2O$  proceeds smoothly followed by addition of the pyridine additives onto sulfonyliminium ion 3b to afford the corresponding 1-pyridyliminium ion 4b (Table 2, entries 4-8). The key IR absorption bands for 1-pyridyliminium ions 4b are consistent with the observed formation of a new set of IR absorption bands, as a function of the pyridine additive, with concomitant disappearance of the IR absorption band for sulfonyliminium ion 3b. A representative example is illustrated for the conversion of lactam 1b to the

corresponding 1-pyridyliminium ion 4b (R" = 4-CN, 1633 cm<sup>-1</sup>) upon activation of lactam 1b with Tf<sub>2</sub>O in the presence of 4-cyanopyridine (Table 2B). Furthermore, we conducted NMR experiments in tandem with our IR study using 3chloropyridine (Table 2, entry 5), and we observed the formation of a new and distinct species upon treatment of sulfonyliminium ion 3b with 3-chloropyridine. Similar to NMR monitoring experiments concerning the formation of diiminium ethers (vide supra), only the <sup>19</sup>F NMR resonance corresponding to the trifluoromethanesulfonate anion was observed after treatment of sulfonyliminium ion 3b with 3-chloropyridine. This observation is consistent with the expulsion of a trifluoromethanesulfonate ion from sulfonyliminium ion 3b and supports the hypothesis that the appearance of new IR absorption bands seen upon treatment of sulfonyliminium ion 3b with pyridine derivatives accounts for the formation of 1pyridyliminium ion 4b. As anticipated, the rates of amide activation and subsequent pyridine addition were strongly dependent on the substituents on the pyridine additive. Pyridines with electron-withdrawing substituents at the 2position undergo slower addition to the O-trifluoromethanesulfonyloxyiminium ion but do not hinder the initial activation of the lactam (Table 2A, entries 3 and 4). Pyridines with electron-withdrawing substituents at the 3- and 4-position formed the corresponding 1-pyridyliminium ion 4b in <2 min at -78 °C (Table 2, entries 5–7).

As discussed above, each pyridine additive explored underwent N-sulfonvlation using the conditions examined (Table 1) except for 2-chloropyridine. Continuing our efforts toward understanding the chemistry of O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonates, we were interested in determining which N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonates 5 can act as electrophilic amide activation reagents. Representative N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonates were synthesized, and after their complete formation was observed by in situ IR analysis, lactam 1a or 1b was introduced to the reaction mixture. By comparing the reaction profile to that in which Tf<sub>2</sub>O was added to the pyridine-lactam mixture, it was possible to determine the dominant activation path in each case examined (Table 2, entries 2, 4, 6, 7). In the case of 2chloropyridine, formation of the N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate does not occur, which results in direct attack onto Tf<sub>2</sub>O by either lactams 1a or 1b. We postulate that this is a major contributing factor to the broad utility of the Tf<sub>2</sub>O-2-chloropyridine reagent combination. All N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate derivatives prepared from mildly nucleophilic pyridines (Table 2A) led to activation of the lactams 1a or 1b, albeit at a slower rate relative to standard conditions involving addition of Tf<sub>2</sub>O to the pyridine-lactam mixture. This diminished rate of electrophilic activation observed when premixing the pyridine additive with Tf<sub>2</sub>O is consistent with the dominant pathway for lactam activation involving direct attack onto Tf<sub>2</sub>O by the lactam.

In order to examine the inhibitory effect of electron-rich pyridines on the activation of sterically hindered lactam 1b, we monitored the addition of pyridine to sulfonyliminium ion 3b (Scheme 4A). Treatment of lactam 1b with  $Tf_2O$  (1.2 equiv) in dichloromethane at -78 °C followed by warming to 23 °C led to clean formation of sulfonyliminium ion 3b (Scheme 4B). After cooling the reaction mixture to -78 °C and introduction of pyridine (2 equiv), immediate reversion to starting lactam 1b

Scheme 4. Deactivation in the Presence of Pyridine



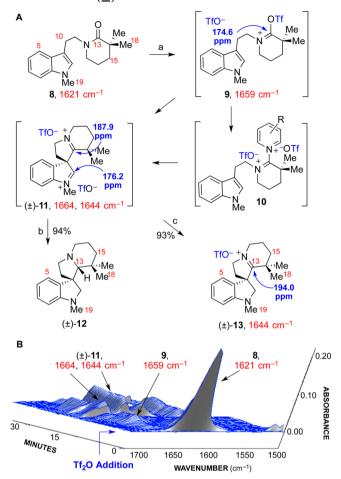
<sup>a</sup>(A) Conditions: (a) pyridine (2 equiv),  $CH_2Cl_2$ , -78 °C  $\rightarrow 23$  °C. (B) Activation of lactam **1b** in the form of sulfonyl iminium ion **3b**, followed by its deactivation upon treatment with pyridine. Key IR absorption bands are reported in wavenumber and shown in red.

and formation of N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5 (R = H, 1687 and 1629 cm<sup>-1</sup>) is observed by both in situ NMR and IR monitoring experiments instead of the anticipated formation of 1-pyridyliminium ion 4b (R = H). Importantly, this observation highlights the influence of the steric crowding around the sulfonyliminium ion 3b, which results in nucleophilic attack by pyridine at the sulfur rather than the carbon of the trifluoromethylsulfonyliminium functional group. Pyridinium 5 (R = H) is not sufficiently electrophilic to effectively sulfonylate  $\alpha_1\alpha$ -disubstituted lactam 1b, thus leading to deactivation and regeneration of lactam 1b (Scheme 4B). For comparison, electron-deficient pyridines (Table 2) allow for activation of sterically hindered lactams under similar conditions because the corresponding N-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate is formed at a slower rate and serves as a better sulfonylating reagent. This shifts the equilibrium more toward activated lactam 3b and enables the formation of 1-pyridyliminium trifluoromethanesulfonate 4b (Table 2).

In Situ Study of the Interruption of the Bischler–Napieralski Reaction. Using the information gained above from examining  $Tf_2O$ -mediated lactam activation, we expanded our in situ reaction monitoring studies to indole lactam 8 (Scheme 5), enabling us to monitor the tandem activation–spirocyclization to form spirodiiminium ion  $(\pm)$ -11. In these experiments, we aimed to determine the reaction rate, the influence of the pyridine additives on the spirocyclization event, and to identify key intermediates while also evaluating their thermal stability.

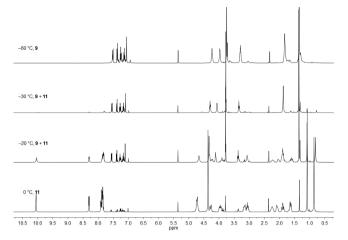
Treatment of lactam 8 with  $Tf_2O$  in dichloromethane at -78 °C in the absence of any pyridine additive led to the formation of O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 9 at -78 °C in <1 min (Scheme 5A). This transformation can be monitored by IR through the disappearance of the lactam amide 8 absorption band at 1621 cm<sup>-1</sup> and simultaneous formation of an absorption band at 1659 cm<sup>-1</sup> corresponding to iminium ion 9 (Scheme 5B). Additionally, the formation of iminium ion 9 was consistent with the emergence of a distinct set of new resonances as

Scheme 5. Direct Observation and Study of Spirocyclic Diiminium Ion  $(\pm)$ -11<sup>a</sup>



 $^a(A)$  Conditions: (a) Tf<sub>2</sub>O (1.2 equiv), CH<sub>2</sub>Cl<sub>2</sub> or CD<sub>2</sub>Cl<sub>2</sub>,  $-78 \rightarrow 23$  °C. (b) Et<sub>3</sub>SiH, 23 °C; LiAlH<sub>4</sub>, THF, 0  $\rightarrow$  23 °C. (c) Et<sub>3</sub>SiH, 23 °C; pyridine. (B) Conversion of lactam 8 to tetracyclic bisiminium ion (±)-11 monitored by in situ IR. Key  $^{13}$ C NMR resonances (blue) and IR absorption bands (red) are noted.

observed by monitoring the reaction progress by in situ <sup>1</sup>H NMR analysis. Notably, the <sup>13</sup>C and <sup>19</sup>F NMR analysis of the reaction mixture is consistent with our observations concerning the formation of O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 3, in that we see a clear <sup>13</sup>C NMR resonance at 174.6 ppm, corresponding to the C13 of the iminium ion, and two fluorine resonances at -79.9 and -71.4 ppm, corresponding to the trifluoromethanesulfonate anion and O-trifluoromethanesulfonyloxyiminium, respectively (vide supra). Upon warming to 0 °C, the IR absorption band at 1659 cm<sup>-1</sup> slowly broadens before eventually forming two absorption bands at 1664 and 1644 cm<sup>-1</sup>, which correspond to diiminium ion  $(\pm)$ -11 (Scheme 5). By monitoring of the reaction mixture with variable-temperature NMR while slow warming from -78 °C, we were able to see trace formation of diiminium ion ( $\pm$ )-11 at -40 °C (<5% after 30 min at -40 °C) and observed full conversion after 10 min at 0 °C (Figure 1). Key <sup>1</sup>H NMR resonances consistent with conversion of iminium ion 9 to diiminium ion  $(\pm)$ -11 include the downfield shift of C2-H resonance from 7.03 to 10.02 ppm and the downfield shift of N-Me resonance from 3.72 to 4.33 ppm. Additionally, while the <sup>13</sup>C and <sup>19</sup>F resonances at 174.6 ppm and −71.4 ppm,



**Figure 1.** Low-temperature activation of lactam 8 and observation of diminium ion  $(\pm)$ -11 by  $^1H$  NMR.

respectively, corresponding to the *O*-trifluoromethanesulfony-loxyiminium ion 9 disappear, two carbon resonances at 176.2 and 187.9 ppm corresponding to the C2- and C19-iminium ions of tetracycle ( $\pm$ )-11, respectively, appear, and the intensity of the trifluoromethanesulfonate anion resonance in the  $^{19}{\rm F}$  NMR spectra at -79.9 ppm increases.

In the presence of pyridine additives, the absorption band for O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 9 is transient, and absorption bands corresponding to the formation of 1-pyridyliminium ion 10 are observed by both in situ IR and NMR. Demonstrating a direct parallel between indole lactam 8 and lactam 1, the absorption bands associated with the formation of 1-pyridyliminium ion 10 (R = 3-chloro, 1679 cm<sup>-1</sup>; R = 2-fluoro, 1652 cm<sup>-1</sup>, Scheme 5) directly correlate to the IR absorption bands observed in the formation of 1-pyridyliminium ion 4b (R = 3-chloro, 1679  $cm^{-1}$ ; R = 2-fluoro, 1659  $cm^{-1}$ , Table 2). Additionally, the disappearance of the characteristic O-trifluoromethanesulfonyloxyiminium ion <sup>19</sup>F NMR resonance (-71.4 ppm) of sulfonyliminium ion 9 upon displacement with the base additive is consistent with formation of 1-pyridyliminium ion 10 and our earlier observations in formation of 1pyridyliminium ion 4b. Interestingly, the formation of 1pyridyliminium ion 10 appears to increase the C13-electrophilicity and the rate of the subsequent spirocyclization to diiminium ion  $(\pm)$ -11. Specifically, when utilizing 3-cyanopyridine or 4-cyanopyridine as base additives, absorption bands corresponding to spirocyclization and formation of diiminium ion  $(\pm)$ -11 (with 3-cyanopyridine: 1664 and 1640 cm<sup>-1</sup>; with 4-cyanopyridine: 1664 and 1635 cm<sup>-1</sup>) are detected even at -60 °C by in situ IR monitoring.<sup>28</sup> The formation of dication 10 is also observed by NMR monitoring through the characteristic disappearance of the O-trifluoromethanesulfonyloxyiminium ion  $^{19}$ F NMR resonance at -71.4 ppm, in addition to the maintained presence of the distinctive C2 <sup>1</sup>H NMR signal at 7.03 ppm that shifts to 10.02 ppm in diiminium ion  $(\pm)$ -11. All three intermediates 9, 10, and  $(\pm)$ -11 revert back to indole lactam 8 upon exposure to water, as observed by in situ NMR monitoring. Thus, prior to this informative in situ reaction monitoring investigation, evaluation of the reaction progress in our related mechanistic investigations was only possible through indirect and less accurate removal of cold aliquots from the reaction mixture followed by a reductive quench to prevent reversion to starting material.

Finally, we conducted a series of experiments that focused on merging the knowledge gained from our in situ experiments, our prior findings, and isolable cyclization products. We first successfully accessed spiroindoline  $(\pm)$ -12 in the absence of a base additive by sequential treatment of diiminium ion  $(\pm)$ -11 with triethylsilane and lithium aluminum hydride in a 94% yield, compared to quantitative yield using 2-chloropyridine as a base additive. 16 We were also able to selectively reduce the C2-iminium of diiminium ion  $(\pm)$ -11, by the use of triethylsilane alone, and isolate spiroindoline iminium ion  $(\pm)$ -13 in 93% yield (Scheme 5, 1644 cm<sup>-1</sup>). This iminium ion bears close resemblance to a fully characterized complex iminium ion from our earlier studies. <sup>17a</sup> Specifically, characteristic values in the IR (1670 cm<sup>-1</sup>), <sup>19</sup>F NMR (-78.6 ppm), and <sup>13</sup>C NMR (192.0 ppm) spectra of the hexacyclic iminium trifluoromethanesulfonate previously isolated are in accordance with the values we observed for spiroindoline iminium ion  $(\pm)$ -13. Importantly, the isolation and full characterization of spiroindoline iminium ion  $(\pm)$ -13 is significant as it provides a bridge between final products such as tetracycle  $(\pm)$ -12 and intermediates that are too fragile for isolation such as diiminium ion  $(\pm)$ -11. Indeed, the absorption band at 1644 cm<sup>-1</sup> seen in the solution phase IR spectrum of spiroindoline iminium ion  $(\pm)$ -13 is attributed to the C13-iminium ion functional grouping and is consistent with one of the two key IR absorption bands associated with the sensitive diiminium ion  $(\pm)$ -11.

# CONCLUSIONS

We have described our mechanistic investigation of the electrophilic amide activation of  $\alpha,\alpha$ -disubstituted tertiary lactams using in situ FTIR, <sup>1</sup>H, <sup>13</sup>C, and <sup>19</sup>F NMR analysis, along with investigation of key intermediates relevant to our interrupted Bischler-Napieralski-based synthetic strategy to the aspidosperma alkaloids. The treatment of a wide range of pyridines with trifluoromethanesulfonic anhydride was examined and characteristic IR absorption bands for the corresponding N-trifluoromethanesulfonylated pyridinium trifluoromethanesulfonates were measured. The observed reversible formation of diiminium ether intermediates provided insight into competing reaction pathways available as a function of the steric environment of the amide substrate and stoichiometry of reagents. Importantly, we discovered that more hindered  $\alpha$ quaternary tertiary lactams require the use of non-nucleophilic pyridine additives in order to avoid deactivation via a competing desulfonylation reaction. The observed reactivity and stability of diiminium ion  $(\pm)$ -11 and spiroindoline iminium ion  $(\pm)$ -13 set the stage for further examination of potential late-stage functionalization and diversification of related synthetic intermediates, which will greatly impact new applications of our interrupted Bischler-Napieralski strategy toward the synthesis of the aspidosperma alkaloids. Additionally, we anticipate the notation of key IR absorption bands for reactive intermediates involved in amide activation using the Tf<sub>2</sub>O-pyridine reagent combination, including O-trifluoromethanesulfonyloxyiminium ions, 1-pyridyliminium trifluoromethanesulfonate salts, diiminium ethers, and spiroindolines containing either one or two iminium ions, and the observed trends in reactivity using various pyridine additives will facilitate future mechanistic investigations and the development of related synthetic transformations.

### **■ EXPERIMENTAL SECTION**

General Methods. All reactions were performed in oven-dried or flame-dried round-bottom flasks, modified Schlenk (Kjeldahl shape) flasks, NMR tubes, or IR microcells.<sup>29</sup> The flasks were fitted with rubber septa, and reactions were conducted under a positive pressure of argon. Cannulae or gastight syringes with stainless steel needles were used to transfer air- or moisture-sensitive liquids. Flash column chromatography was performed as described by Still et al.<sup>30</sup> using granular silica gel (60 Å pore size, 40–63  $\mu$ m, 4–6% H<sub>2</sub>O content) or nonactivated alumina gel (80-325 mesh, chromatographic grade). Analytical thin layer chromatography (TLC) was performed using glass plates precoated with 0.25 mm 230-400 mesh silica gel impregnated with a fluorescent indicator (254 nm) or neutral alumina gel impregnated with a fluorescent indicator (254 nm). TLC plates were visualized by exposure to short wave ultraviolet light (254 nm) and irreversibly stained by treatment with an aqueous solution of ceric ammonium molybdate (CAM) or an aqueous solution of potassium permanganate (KMnO<sub>4</sub>) followed by heating (~1 min) on a hot plate (~250 °C). Organic solutions were concentrated at 29-30 °C on rotary evaporators capable of achieving a minimum pressure of ~2 Torr. Proton (1H), carbon (13C), and fluorine (19F) nuclear magnetic resonance (NMR) spectra were recorded with 600, 500, and 400 MHz spectrometers. Proton NMR (1H NMR) spectra are reported in parts per million on the  $\delta$  scale and are referenced from the residual protium in the NMR solvent. Chemical shifts are recorded in parts per million on the  $\delta$  scale and are referenced from the residual protium in the NMR solvent (CHCl<sub>3</sub>:  $\delta$  7.26, CHDCl<sub>2</sub>:  $\delta$  5.32). Data are reported as follows: chemical shift [multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant(s) in Hertz, integration, assignment]. Carbon-13 nuclear magnetic resonance spectra are recorded in parts per million on the  $\delta$  scale and are referenced from the carbon signals of the solvent (CDCl<sub>3</sub>:  $\delta$  77.16: CD<sub>2</sub>Cl<sub>2</sub>:  $\delta$  54.00). Data are reported as follows: chemical shift [multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant(s) in Hertz, assignment]. Fluorine-19 nuclear magnetic resonance spectra are recorded in parts per million on the  $\delta$  scale and are referenced from the fluorine signals of trifluoromethanesulfonic anhydride  $((CF_3SO_2)_2O \delta -72.60)$ . Data are reported as follows: chemical shift [multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant(s) in Hertz, integration, assignment]. Infrared data (IR) were obtained with a FTIR and are reported as follows: [frequency of absorption (cm<sup>-1</sup>), intensity of absorption (s = strong, m = medium, w = weak, br = broad)]. React-IR experiments were performed with a silicon probe. High-resolution mass spectrometric data (HRMS) were recorded on a FT-ICR-MS spectrometer using electrospray ionization (ESI) source or direct analysis in real time (DART) ionization source.

Formation and In Situ Analysis of O-Trifluoromethanesulfonyloxyiminium Ion 3a. The iminium ion 3a was observed using in situ IR analysis according to the following procedure: Trifluoromethanesulfonic anhydride (27.0  $\mu$ L, 161  $\mu$ mol, 1.20 equiv) was added via syringe to a solution of lactam 1a (32.0 mg, 134  $\mu$ mol, 1 equiv) in dichloromethane (1.5 mL) at -78 °C. O-Trifluoromethanesulfonyloxyiminium ion 3a was observed immediately. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. No change in the IR spectrum of the reaction mixture was observed during the warming. IR (in CH<sub>2</sub>Cl<sub>2</sub>) cm<sup>-1</sup>: 3057 (w), 2930 (m), 1692 (m), 1447 (br). The iminium ion 3a was observed by NMR according to the following procedure: Trifluoromethanesulfonic anhydride (16.8  $\mu$ L, 100  $\mu$ mol, 1.20 equiv) was added via syringe to a solution of lactam 1a (20.0 mg, 836  $\mu$ mol, 1 equiv) in dichloromethane- $d_2$  (750  $\mu$ L) at -78 °C. After 5 min, the reaction mixture was warmed to 0 °C. After 10 min, the ice bath was removed, and the reaction mixture was allowed to warm to 23 °C. After 15 min at 23 °C, the reaction mixture was transferred to an NMR tube under an argon atmosphere via syringe. <sup>1</sup>H NMR (500 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20 °C):  $\delta$  4.02 (app-t, J = 5.6Hz, 2H), 3.80 (app-t, J = 8.2 Hz, 2H), 3.27 (app-t, J = 6.1 Hz, 2H), 2.17-2.05 (m, 4H), 1.81-1.72 (m, 2H), 1.42-1.20 (m, 14H), 0.88 (t, J = 6.8 Hz, 3H). <sup>13</sup>C NMR (125 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20 °C):  $\delta$  170.9, 118.7

(q, J = 323.8 Hz, OTf), 118.6 (q, J = 321.9 Hz, OTf), 56.6, 54.5, 32.4, 29.9, 29.8, 29.7, 29.4, 27.1, 26.9, 23.2, 20.5, 18.3, 14.4.  $^{19}$ F NMR (282 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20 °C):  $\delta$  -79.4 (OTf), -72.3 (COTf).

Formation and In Situ Analysis of O-Trifluoromethanesulfonyloxyiminium Ion 3b. The iminium ion 3b was observed using in situ IR analysis following the procedure for 3a starting from 1b. IR (in CH<sub>2</sub>Cl<sub>2</sub>) cm<sup>-1</sup>: 3057 (s), 2930 (w), 1664 (m), 1462 (br). The iminium ion 3b was observed by NMR following the procedure for 3a starting from 1b.  $^{1}$ H NMR (500 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20  $^{\circ}$ C):  $\delta$  4.26 (app-t, J = 5.9 Hz, 2H), 3.99 (app-t, J = 8.7 Hz, 2H), 2.16–2.09 (m, 2H), 2.09–2.03 (m, 2H), 1.88–1.79 (m, 2H), 1.52 (s, 6H), 1.42–1.22 (m, 14H), 0.88 (t, J = 6.6 Hz, 3H).  $^{13}$ C NMR (125 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20  $^{\circ}$ C):  $\delta$  175.2, 121.4 (q, J = 320.9 Hz, OTf), 120.0 (q, J = 319.7 Hz, OTf), 58.5, 56.9, 41.2, 34.8, 32.4, 29.9, 29.8, 29.4, 27.7, 26.8, 26.0, 25.8, 23.1, 18.3, 14.4.  $^{19}$ F NMR (282 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 20  $^{\circ}$ C):  $\delta$  –71.1 (COTf), –79.7 (OTf).

Formation and In Situ Analysis of Diiminium Ether 6a. The diiminium ion 6a was observed using in situ IR analysis according to the following procedure: Trifluoromethanesulfonic anhydride (8.8  $\mu$ L, 52  $\mu$ mol, 0.50 equiv) was added via syringe to a solution of lactam 1a (25.0 mg, 105  $\mu$ mol, 1 equiv) in dichloromethane (1.5 mL) at -78 °C. After 10 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. No change in the IR spectrum of the reaction mixture was observed during the warming. IR (in  $CH_2Cl_2$ ) cm $^{-1}$ : 2961 (s), 2930 (m), 1675 (m), 1478 (s). The diiminium ion 6a was observed by NMR according to the following procedure: trifluoromethanesulfonic anhydride (7.0  $\mu$ L, 42  $\mu$ mol, 0.50 equiv) was added via syringe to a solution of lactam 1a (20.0 mg, 83.6  $\mu$ mol, 1 equiv) in dichloromethane- $d_2$  (750  $\mu$ L) at -78 °C. After 5 min, the reaction mixture was warmed to 0 °C. After 10 min, the reaction mixture was allowed to warm to 23  $^{\circ}$ C. The reaction mixture was transferred to an NMR tube under an argon atmosphere via syringe. <sup>1</sup>H NMR (400 MHz,  $CD_2Cl_2$ , 25 °C):  $\delta$  4.00 (m, 4H), 3.94 (app-t, J = 8.2 Hz, 4H), 3.29 (app-t, J = 5.5 Hz, 4H), 2.12 (br-s, 8H), 1.84–1.75 (m, 4H), 1.43-1.17 (m, 8H), 0.88 (t, J = 6.8 Hz, 6H).  $^{13}$ C NMR (100 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 25 °C): δ 172.0, 56.5, 54.1, 32.4, 30.5, 30.1, 29.9, 29.9 29.8, 27.3, 27.1, 23.2, 20.5, 18.5, 14.4.<sup>31</sup> <sup>19</sup>F NMR (376 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 25 °C):  $\delta$  -79.6 (OTf).

Formation and In Situ Analysis of Diiminium Ether 6b. The diiminium ion 6b was observed using in situ IR analysis following the procedure for 6a starting from 1b. IR (in  $CH_2Cl_2$ ) cm<sup>-1</sup>: 3057 (m), 2930 (m), 1664 (m), 1462 (s).

Formation and In Situ IR Analysis of Diiminium Ether 7. Formation of diiminium ion 7 via the addition of lactam 1b to 3a was observed using in situ IR analysis according to the following procedure: A cold solution of lactam 1b (21.0 mg, 78.5  $\mu$ mol, 1 equiv) in dichloromethane (500  $\mu$ L, -78 °C) was added to a solution of sulfonyliminium ion 3a (78.5  $\mu$ mol, 1 equiv) in dichloromethane (1.0 mL) at −78 °C. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. IR (in CH<sub>2</sub>Cl<sub>2</sub>) cm<sup>-1</sup>: 2930 (m), 2856 (w), 1675 (m), 1644 (m), 1467 (s). Formation of diiminium ion 7 via the addition of lactam 1a to sulfonyliminium ion 3b was observed using in situ IR analysis according to the following procedure: A cold solution of lactam 1a (18.8 mg, 78.5  $\mu$ mol, 1.00 equiv) in dichloromethane (500  $\mu L\text{, }-78~^{\circ}\text{C})$  was added to a solution of sulfonyliminium ion 3b (78.5  $\mu$ mol, 1 equiv) in dichloromethane (1.0 mL) at -78 °C. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h and then stirred at 23 °C for 1 h. IR (in  $CH_2Cl_2$ ) cm $^{-1}$ : 2932 (m), 2859 (w), 1675 (m), 1644 (m),

Formation and In Situ Analysis of *N*-(Trifluoromethylsulfonyl)pyridinium Trifluoromethanesulfonates 5 (Table 1). In situ IR monitoring of the addition of trifluoromethanesulfonic anhydride (42.2  $\mu$ L, 251  $\mu$ mol, 1 equiv) to a solution of pyridine derivative 2 (251  $\mu$ mol, 1 equiv) in dichloromethane (1.5 mL) at -78 °C under argon resulted in the formation of the expected *N*-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. Scans were collected at 30 s intervals.

Formation and In Situ IR Analysis of 1-Pyridyliminium lons 4 (Table 2). Trifluoromethanesulfonic anhydride (18.8  $\mu$ L, 112  $\mu$ mol, 1.20 equiv) was added via syringe to a solution of lactam 1 (93.9  $\mu$ mol, 1 equiv) and pyridine additive (112  $\mu$ mol, 1.20 equiv) in dichloromethane (1.5 mL) at -78 °C. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. The representative IR spectrum for formation of pyridinium ion 4b is illustrative: 1687 (m), 1629 (m), 1486 (m), 1428 (s).

Representative Formation and In Situ NMR Analysis of 1-Pyridyliminium Ion 4b (R = 3-Cl, Table 2, entry 5). Trifluoromethanesulfonic anhydride (28.0 µL, 166 mol, 1.70 equiv) was added via syringe to dichloromethane-d<sub>2</sub> (0.3 mL) in an NMR tube under argon and subsequently cooled to −78 °C. A solution of lactam 1b (26.0 mg, 97.9  $\mu$ mol, 1 equiv) and 3-chloropyridine (15.8  $\mu$ L, 164  $\mu$ mol, 1.70 equiv) in dichloromethane- $d_2$  (450  $\mu$ L) at -78 °C was added to the cooled trifluoromethanesulfonic anhydride solution via syringe. After 5 min, the reaction mixture was warmed to 0 °C. After 10 min, the reaction mixture was allowed to warm to 23 °C and sonicated for 15 min.  $^1H$  NMR (400 MHz, CD\_2Cl\_2, 25 °C):  $^{32}$   $\delta$  9.71 (s, 1H), 9.55 (d, J = 6.9 Hz, 1H), 8.98 (d, J = 8.5 Hz, 1H), 8.56 (dd, J)= 6.4, 8.5 Hz, 1H), 4.41 (app-t, I = 5.5 Hz, 2H), 3.64–3.51 (m, 2H), 2.39-2.28 (m, 2H), 2.23-2.14 (m, 2H), 1.96-1.86 (m, 2H), 1.49 (s, 3H), 1.48 (s, 3H), 1.32–1.11 (m, 14H), 0.85 (t, J = 6.9 Hz, 3H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>, 25 °C): δ 175.5, 152.6, 142.7, 142.5, 139.3, 131.3, 61.8, 58.0, 42.6, 33.0, 32.4, 29.9, 29.7, 29.7, 29.2, 27.1, 27.0, 26.8, 26.6, 23.2, 18.0, 14.4.  $^{31}$   $^{19}\mathrm{F}$  NMR (376 MHz,  $\mathrm{CD_2Cl_2}$  25 °C):  $\delta$ 

Formation of Pyridinium Ion 5 from *O*-Trifluoromethane-sulfonyloxyiminium Trifluoromethanesulfonate 3b. Pyridine (21.1  $\mu$ L, 262  $\mu$ mol, 2.00 equiv) was added via syringe to a solution of sulfonyliminium ion 3b (131  $\mu$ mol, 1 equiv) in dichloromethane (1.5 mL) at -78 °C. Immediate reversion of sulfonyliminium ion 3b to lactam 1b and formation of *N*-(trifluoromethylsulfonyl)pyridinium trifluoromethanesulfonate 5 was observed. The reaction was monitored for 1.5 h, and no change was observed. IR (in CH<sub>2</sub>Cl<sub>2</sub>) cm<sup>-1</sup>: 1687 (s, C<sub>3</sub>H<sub>3</sub>N<sup>+</sup>–SO<sub>2</sub>CF<sub>3</sub>), 1625 (s), 1582 (s), 1482 (br), 1432 (br).

Formation and In Situ IR and NMR Analysis of Tetracyclic **Iminium Ion** ( $\pm$ )-11. Tetracyclic iminium ion ( $\pm$ )-11 was observed using in situ IR analysis according to the following procedure: Trifluoromethanesulfonic anhydride (21.3  $\mu$ L, 127  $\mu$ mol, 1.20 equiv) was added via syringe to a solution of lactam 8 (30.0 mg, 105  $\mu$ mol, 1 equiv) in dichloromethane (1.5 mL) at -78 °C. After 5 min, the reaction mixture was slowly warmed to 23 °C over the course of 1 h. IR (in CH<sub>2</sub>Cl<sub>2</sub>) cm<sup>-1</sup>: 1664 (m), 1644 (m), 1613 (w), 1586 (s). Tetracyclic iminium ion  $(\pm)$ -11 was observed using in situ NMR according to the following procedure: Trifluoromethanesulfonic anhydride (30.1 µL, 179 µmol, 1.70 equiv) was added via syringe to dichloromethane- $d_2$  (0.3 mL) in an NMR tube equipped with a rubber septum under argon and subsequently cooled to -78 °C. A solution of lactam 8 (30.0 mg, 105  $\mu$ mol, 1 equiv) in dichloromethane- $d_2$  (450  $\mu$ L) at -78 °C was added to the cooled Tf<sub>2</sub>O solution. The NMR tube was immediately transferred to a cooled NMR probe at -80 °C. The reaction mixture was warmed at a rate of 1 °C/min, affording the data illustrated in Figure 1 for O-trifluoromethanesulfonyloxyiminium trifluoromethanesulfonate 9. <sup>1</sup>H NMR (400 MHz, CD<sub>2</sub>Cl<sub>2</sub>, -40 °C):  $\delta$  7.49 (d, J = 8.0 Hz, 1H), 7.34 (d, J = 8.1 Hz, 1H), 7.22 (app-t, J= 7.7 Hz, 1H), 7.11 (app-t, J = 7.7 Hz, 1H), 7.03 (s, 1H), 4.22 (t, J = 7.7 Hz, 1Hz)6.9 Hz, 2H), 3.97 (br-s, 2H) 3.72 (s, 3H), 3.27 (t, J = 6.9 Hz, 2H), 1.81 (s, 4H), 1.31 (s, 6H).  $^{13}$ C NMR (100 MHz,  $CD_2Cl_2$ , -40  $^{\circ}$ C):  $\delta$ 174.6, 136.6, 127.8, 126.7, 122.1, 119.5, 117.9, 118.1 (q, *J* = 322.2 Hz, OTf), 114.7 (q, J = 333.9 Hz, OTf), 110.0, 106.7, 58.6, 57.1, 40.5, 33.8, 33.0, 24.9, 23.2, 17.5.  $^{19}$ F NMR (376 MHz, CD<sub>2</sub>Cl<sub>2</sub>, -40  $^{\circ}$ C):  $\delta$  -71.4 (COTf), -79.9 (OTf). Tetracyclic diiminium ion ( $\pm$ )-11: <sup>1</sup>H NMR (400 MHz,  $CD_2Cl_2$ , 0 °C): $\delta$  10.02 (s, 1H), 8.27 (d, J = 6.5 Hz, 1H), 7.89-7.84 (m, 1H), 7.85-7.77 (m, 2H), 4.76-4.61 (m, 2H), 4.33 (s, 1H), 4.24 (d, J = 12.7 Hz, 1H), 3.98-3.87 (m, 1H), 3.19-3.07 (m, 1H), 3.07-2.97 (m, 1H), 2.20 (br-s, 1H), 2.09-1.99 (m, 1H), 1.85 (t, J = 12.7 Hz, 1H), 1.63 - 1.55 (m, 1H), 1.05 (s, 1H), 0.82 (s, 1H). <sup>13</sup>C NMR (125 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 0 °C):  $\delta$  187.9, 176.2, 151.6, 142.9, 135.9,

133.4, 132.8, 117.6, 70.3, 61.6, 52.5, 40.4, 39.2, 34.0, 30.4, 28.6, 24.6, 17.9. 
Spiroindoline ( $\pm$ )-12. 
Trifluoromethanesulfonic anhydride

(12.1 µL, 71.8 µmol, 1.20 equiv) was added via syringe to a solution of lactam 8 (17.0 mg, 59.8 µmol, 1 equiv) and 2-chloropyridine (12.4  $\mu$ L, 122  $\mu$ mol, 2.20 equiv) in dichloromethane (750  $\mu$ L) at -78 °C. After 5 min, the reaction mixture was warmed to 0 °C. After 10 min, the reaction mixture was allowed to warm to 23 °C. After 20 min, triethylsilane (28.6  $\mu$ L, 179  $\mu$ mol, 3.00 equiv) was added via syringe. After 2 h, the reaction mixture was cooled to 0 °C, and THF (750  $\mu$ L) was added via syringe. After 30 s, lithium aluminum hydride (9.10 mg, 240  $\mu$ mol, 4.00 equiv) was added as a solid under argon atmosphere. After 10 min at 0 °C, a solution of potassium sodium tartrate was added to quench the unreacted aluminum hydride salts. The reaction mixture was allowed to warm to 23 °C. The layers were separated, and the aqueous layer was extracted with dichloromethane  $(3 \times 5 \text{ mL})$ . The combined organic extracts were washed with saturated aqueous sodium chloride solution (10 mL), dried over anhydrous sodium sulfate, filtered, and concentrated under reduced pressure. The resulting residue was purified by flash column chromatography on alumina gel (eluent:  $\bar{0} \rightarrow 10\%$  ethyl acetate in hexanes) to give spiroindoline (±)-12 (16.0 mg, 98.9%) as an off-white powder. When the same procedure was executed without base, the desired product was obtained in 94.0% isolated yield. When the same procedure was executed without triethylsilane, the desired product was obtained in 73.6% isolated yield. The analytical data for the isolated compound in each case were consistent with our previously reported data.

Spiroindoline Iminium Ion (±)-13. Trifluoromethanesulfonic anhydride (20.9  $\mu$ L, 125  $\mu$ mol, 1.20 equiv) was added via syringe to a solution of lactam 8 (29.5 mg, 104  $\mu$ mol, 1 equiv) in dichloromethane (1.0 mL) at -78 °C. After 5 min, the reaction mixture was warmed to 0 °C. After 10 min, the reaction mixture was allowed to warm to 23  $^{\circ}$ C. After 20 min, triethylsilane (166  $\mu$ L, 1.04 mmol, 10.0 equiv) was added via syringe. After 2 h, pyridine (83.7  $\mu$ L, 1.04 mol, 10.0 equiv) was added via syringe. After 14 h, the reaction mixture was concentrated under reduced pressure. The resulting residue was purified by flash column chromatography on alumina gel (eluent:  $0 \rightarrow$ 100% acetone in hexanes) to give spiroindoline iminium ion  $(\pm)$ -13 (40.4 mg, 93.0%) as a pale yellow oil. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>, 20 °C):  $\delta$  7.22 (app-dt, J = 1.2, 7.8 Hz, 1H), 7.04 (d, J = 7.5 Hz, 1H), 6.71 (app-t, J = 7.5 Hz, 1H), 6.55 (d, J = 7.9 Hz, 1H), 4.43 (ddd, J = 6.4, 8.4, 14.4 Hz, 1H), 4.23-4.16 (m, 1H), 4.19 (d, J = 11.3 Hz, 2H), 4.16-4.23 (m, 1H), 3.88 (m, 1H), 3.39 (d, J = 11.3 Hz, 1H), 2.82 (s, 3H), 2.73-2.62 (m, 1H), 2.62-2.54 (m, 1H), 2.16-2.07 (m, 1H), 2.07–1.96 (m, 1H), 1.89 (ddd, *J* = 3.4, 10.0, 13.3 Hz, 1H), 1.58 (ddd, *J* = 3.1, 7.8, 13.6 Hz, 1H), 1.50 (s, 3H), 0.89 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>, 20 °C):  $\delta$  193.7, 152.7, 130.9, 129.0, 124.7, 121.0 (q, J =320.4 Hz, OTf), 118.6, 108.4, 65.0, 62.9, 58.4, 50.6, 38.4, 36.5, 35.2, 35.1, 28.2, 26.0, 17.5. <sup>19</sup>F NMR (282 MHz, CDCl<sub>3</sub>, 20 °C):  $\delta$  -79.1. FTIR (thin film) cm<sup>-1</sup>: 3567 (w), 3056 (m), 2957 (s), 2873 (s), 1652 (s), 1605 (s), 1497 (s), 1031 (s), 755 (s), 638 (s). HRMS (ESI) (m/ z): calcd for  $C_{18}H_{25}N_2^+$  [M]<sup>+</sup>: 269.2012, found: 269.2020. Mp: 110– 112 °C. TLC (Al<sub>2</sub>O<sub>3</sub>, 50% acetone in hexanes), R<sub>f</sub>: 0.45 (UV, CAM).

#### ASSOCIATED CONTENT

# Supporting Information

Copies of <sup>1</sup>H and <sup>13</sup>C NMR spectra of all compounds described in the Experimental Section. The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.joc.5b01023.

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#### Notes

The authors declare no competing financial interest.

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- (28) In the presence of pyridine additives, the IR absorption bands for diiminium ion  $(\pm)\text{-}11$  shift very slightly compared to those seen in the absence of additives. For example, the characteristic absorption bands for diiminium ion  $(\pm)\text{-}11$  at 1664 and 1644 cm $^{-1}$  in the absence of 3-cyanopyridine shift to absorption bands at 1664 and 1640 cm $^{-1}$ , respectively, in the presence of 3-cyanopyridine. This is observed whether the base additive is present during or after amide activation.
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- (32) The minor broad peaks present in the  $^1H$  NMR spectrum between 8.6–8.9 ppm correspond to the 3-chloro-N-sulfonyl pyridinium ion due to the excess 3-chloropyridine and  $Tf_2O$  used in the NMR experiment.